5p#1645

#### **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01020B

In re Application of: Palli et a

Serial No. 09/965,703

Filed: September 26, 2001

AL 0 5 2002 W

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JUL 0 9 2002

Group Art Unit: 1645

TECH CENTER 1600/2900

:Examiner: Unassigned

For: NOVEL ECDYSONE RECEPTOR-BASED

INDUCIBLE GENE EXPRESSION SYSTEM

Commissioner for Patents Washington, DC 20231

# INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above-identified application as set forth in § 1.491, before the mailing date of a first Office Action on the mertis of the above-identified application, or before the mailing date of a first Office Action after the filing of Request for Continued Examination under § 1.114, no additional fee is required.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed in the attached form PTO-1449 is included herewith.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Canille Jolly-Tornetta, Ph.D.

Agent for Applicants, Reg. No. 48,592

Rohm and Haas Company 100 Independence Mall West Philadelphia, PA 19106-2399

Date: June 26, 2002



### LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

S	he	eet	1	of	-

Atty Docket No. A01020B Serial No. 09/965,703

Applicant Palli et al.

Filing Date September 26, 2001 Group 1645

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			U.S. Pate	ent Documents						
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE				
111111111111111111111111111111111111111	AA	US6265173	07/24/2001	Evans et al.						
	AB	US5880333	03/09/1999	Goff et al.						
	AC	US5283173	02/01/1994	Fields et al.		S = 2				
	AD	US6300488	10/09/2001	Gage et al.						
	AE					2002				
	AF					2				
	AG					9				
		<u></u>	FOREIGN PA	TENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No				
	АН	WO9958155	11/18/1999	PCT						
	Al	WO9902683	01/21/1999	PCT						
	AJ	WO9738117	10/16/1997	PCT						
-	AK									
	AL									
		OTHER ART (In	cluding Autho	r, Title, Date, Pertinent	Patents, etc.)					
	AM	Riddiford et al., 2	2000, Vitam Horr	n., 60:1-73						
	AN	Christopherson et al., 1992, PNAS, 89:6314-6318								
	AO	Suhr et al., 1996, PNAS, 95:7999-8004								
	AP	Yao, et al., 1993, Nature, 366:476-479								
-	AR	Yao, et al., 1992, Cell, 71:63-72								
	AS	No et al., 1996,	PNAS, 93:3346-	3351						
Examiner	I	<u> </u>		Date Considered						

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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Commissioner for Patents Washington, DC 20231

#### **CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Information Disclosure Statement (2 pgs.)
Form 1449 (1 pg.)
Copies of 13 Cited References
Return Receipt Postcard

June 26, 2002

Date

Jennifer Gidley